Appendix 3a

Risk	Sub No	Sub Risk	Impact / Consequences	Opportunity	Gross Risk Score			Controls and Mitigation	Net Risk Score			New Developing Controls	Risk Manager	CLT Risk Owner	Target Date	Corporate Priority
					I	L	GS		I	L	NS					
Failure to keep People Safe	4a	Death, serious injury or harm of a vulnerable adult / child.	Inspection failure (Ofsted / CCQ).		5	5	25	Safeguarding processes and procedures.	5	3	15	Review all safeguarding procedures and constant auditing.	Director of Adult Services / Deputy Director of Children's Services	Director of People	Ongoing	Communities
			Trauma for family of the victim.					Training and professional development.								
			Potential criminal charges for staff involved.					Contract monitoring.								
			Significant liability claim received.					Risk assessments.								
	4b	Death or injury to a member of staff or the public.	Trauma for family of the victim.		5	5	25	Full suite of health and safety arrangements and guidance notes available on the Hub.	5	3	15	Addition of health and safety roles and responsibilities in job descriptions.	Chief Internal Auditor	Director of Resources	Ongoing	Communities
			Corporate manslaughter changes, prosecution with unlimited fines and potential prison sentences for those in control.					Programme of health and safety management system audits in place.				Support and assistance from CLT to embed the monitoring process.				
			Civil compensation claims.					Suite of health and safety training available for all employees.								
			Reputational damage.					Team of qualified health and safety professionals.								